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PTO/SB/01 (10-00)

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			Attorney Docket Number	AP639US	
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		First Named Inventor	HE, Gang		
		COMPLETE IF KNOWN			
		Application Number			
_		,	Filing Date		
Declaration Submitted With InItIal Filing Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Submitted after Initial	Group Art Unit			
	(37 ČFR 1.16 (e))	Examiner Name			

			· · · · · · · · · · · · · · · · · · ·			
As a below named inventor, I her	eby declare that:					
My residence, mailing address, and						
I believe I am the original, first and names are listed below) of the sub	ect matter which is clair	med and for which a pa	tent is sought on ti	(B) (I 14 G) (NO(1) O) (MOO).		
Multi-Pass Tunable Optical Filter Using a Polarization Dependent Filter Element, and Multi-Pass Optics Therefor						
	(Ti	itle of the Invention)				
the specification of which	•					
🖄 Is attached hereto						
OR		as United S	States Application N	lumber or PCT International		
☐ was filed on (MM/DD/YYY)				(if applicable).		
Application Number	and was a	mended on (MM/DD/Y)	M)			
I hereby state that I have reviewed amended by any amendment spe	and understand the co	ontents of the above ide		n, including the claims, as		
		والطمامية والمامان	as defined in 37 CF	R 1.56, including for continuation-		
I acknowledge the duty to disclose In-part applications, material informational filing date of the	nation which decarie a	ASPRADIO DOMADOLI RIO ILE	ing date of the prio	r application and the national or		
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.						
Prior Foreign Application	Country	Foreign Filling Date		Certified Copy Attached? YES NO		
Number(s)	Country	(MM/DD/YYY)	140f Claiman	YES NO		
2,304,898	CA	04/07/2000				
,						
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:						
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.						
Siling Date (MM/DD/YYY)						
Application Number(s)			Addition	al provisional application are listed on a		
60/195,204	04/07/	2000		ental priority data sheet		
				/02B attached hereto.		

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

, THOMAS ADAMS AND ASSOC

Direct all correspondence to: Custome or Bar Co	r Number ode Label			OR X C	orrespondence address below
Name Thomas Adams					
P.O. Box 11100, State	ion H				
Address					
Ottawa			Onta	rio	K2H 7T8
Clty			State		ZIP
Canada	613 828	0012			613 828 0024
Country	Telephone)			Fax
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTO	R:		A petition	on has been file	ed for this unsigned inventor
Given Name	Given Name UT				
(first and middle [if any]) Gazig			or Surn	ame	
Inventor's Signature Date 3 april 200					
Sainte-Foy		Queb State		Canada Country	Canadian Citizenship
Residence: City 823 De La Loui	ej ano	366			
Malling Address	O Talle				
Malling Address					
	bec		GlX 3	3L4	Canada
City State			ZIP		Country
NAME OF SECOND INVENTOR:			A petit	ion has been fl	led for this unsigned inventor
Given Name (first and middle [if any]) Daniel			Family or Surn	11011	lépy
Inventor's 2 pril 2001					
Signature Jacob Stoneham		Quebe	С	Canada	Canadian
Residence: City		State		Country	Citizenship
Mailing Address 158 Ave. du Lac Est					
	repec		GOA	470	Canada Country
City State Additional inventors are being named on the	e ¹ suppleme	ental Additi	ZIP onal Inve	ntor(s) sheet(s) PT	
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Speet
Page ___ of ___

Name of Additional Joint Inventor, if a	ny:	A petition has	been filed for th	nis unsigned inventor	
Given Name (first and middle [if any])	Fai	mily Name or S	nwawe	
Gregory Walter		SCHINN			
Inventor's Signature	li		(paril 2001	
Quebec City Residence: City	Quebec State	Carlada Country	i	Canadian Citizenship	
Mailing Address 8 rue Garneau					
Mailing Address					
Quebec City	Quebec	GIR 3V1	Carta		
City	State	ZIP	Countr	<u>Y</u>	
Name of Additional Joint Inventor, if a	ny:	A petition has b	een filed for this	s unsigned inventor	
Given Name (first and middle (if any])	Family Name or Sumame			
Martin	LAMONDE				
Inventor's Signature Farmande				6 april 2001	
Quebec	Quebec			Canadian	
Residence: City	State	Country		Citizenship	
Mailing Address 394 St-Germain, A	ipt. 3				
Mailing Address					
Quebec	Quebec State	GlK 4N1	Car Cour	ada	
Name of Additional Joint Inventor, if a	ny:	☐ A petition has be	···	unsigned inventor	
Given Name (first and middle [if any])	Family Name or Surname			
Inventor's Signature				Date	
Residence: City	State	Country	···	Citizenship	
Mailing Address					
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City	State	ZIP	Co	untry	

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PTO/SB/81 (10-00)

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Application Number		
Filing Date		
First Named Inventor	HE. Gang	
Group Art Unit		
Examiner Name		
Attorney Docket Number	AP639US	

I hereby appoir	nt:				
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	Name	Registra	tion Number		
Thor	mas Adams	31078			
as my/our attorn business in the	ney(s) or agent(s) to prosecute the application United States Patent and Trademark Office co	identifled above, onnected therewit	and to transact all h.		
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I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).					
	SIGNATURE of Applicant or Assig	nee of Record			
Name	Martin LAMONDE				
Signature Traiting June and					
Date 6 April 2001					
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.					
Total of	forms are submitted.				

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Application Number		
Filing Date		
First Named Inventor	HE. Gang	
Group Art Unit		
Examiner Name		
Attorney Docket Number	AP639US	

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		Name			Registra	ation Number	
Th	iomas Ad	lams			31078		
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Filing Date		
First Named Inventor	HE. Garig	_
Group Art Unit		
Examiner Name		
Attorney Docket Number	AP639US	

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I am the: Applicant/Invento Assignee of reco	or. and of the entire interest. See 37 37 CFR 3.73(b) is enclosed. (F	CFR 3.71.	
	SIGNATURE of Applicant or	Assignee of Record	
Nome Gre	gory Walter SCHINN		
Name Signature	man Arta	· · · · · · · · · · · · · · · · · · ·	
Date Apr	ril 6/2001		
NOTE: Signatures of all the inven	top or assignees of record of the entire	interest or their represer	mative(s) are required. Submit multiple
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ASSIGNMENT

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In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, each undersigned inventor does hereby confirm his sale, assignment, transfer and setting over, and does hereby sell assign, transfer and set over to:

EXFO ELECTRO-OPTICAL ENGINEERING INC.

(Assignee),

whose full post office address is:

465 Godin Street, Vanier, Quebec, G1M 3G7, Canada

its successors, assigns and legal representatives, his entire right, title and interest, including priority rights, for all countries in and to certain inventions as fully set forth and described in Canadian patent application No. 2,304,898 and corresponding United States provisional patent application, both filed April 7, 2000, and entitled: MULTI-PASS TUNABLE OPTICAL FILTER USING A POLARIZATION-DEPENDENT FILTER ELEMENT, AND MULTI-PASS OPTICS THEREFOR together with his entire right, title and interest in and to any and all Letters Patent which may issue or be re-issued for said inventions to the full end of the term for which each said Letters Patent may be granted:

AND hereby authorizes the issuance to said Assignee of any and all said Letters Patent not already issued as the assignee of his entire right, title and interest in and to the same, for the sole use and benefit of said assignee, its successors, assigns or legal representatives:

AND on behalf of himself and his executors and administrators, hereby covenants and agrees to do all such lawful acts and things and to execute without further consideration such further lawful assignments, documents, assurances, applications, and other instruments as may reasonably be required by said Assignee, its successors, assigns or legal representatives, to obtain any and all said Letters Patent, in any country, for said invention and vest the same in said Assignee, its successors, assigns or legal representatives.

EACH of the undersigned hereby grants the firm Thomas Adams & Assoc. the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of a patent office for recordation of this Assignment.

	executed at Vanier	
	THIS 23 DAY OF May	2000
Witness Signature ALCHEL LEALANC Witness' Name	INVENTOR 1: GANG HE	
ال ال	executed at Vanier This 23rd day of May	-
	THIS 23 DAY OF May	2000
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Witness' Name	INVENTOR 2: DANIEL GARIERY	

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